



# Maker-Mends Ltd

## Annex D1.3. Tier 2 Midstream/Downstream Information Form

### Company Information

Date		Document Number	
Name of Company			
Address			
RJC Member	Yes		No
COP Certified Date			
COP Expiry Date			
Responsible Person			

### Policies and Due Diligence Management Systems

Do you have a supply chain policy consistent with Annex II of the OECD Guidance? Details can be found at <a href="http://www.oecd.org">www.oecd.org</a>	Yes		No	
	If yes, please attach policy with this document			
Do you have a due diligence management system in place that is consistent with the OECD Guidance?	Yes		No	
Product Source & Origin				
What are your product sources? (this information can be provided in aggregate form, e.g. a list of all countries, areas and/or companies where you have sourced from).				
List the percentage of product you supply to us that falls into the following categories:	1. Mined rough/polished stones with known origin (mine, company or geographical location where the mine is located).			%
	2. Mined rough/polished stones where there is no information available on origin.			%
	3. Recycled stones			%
	4. Grandfathered stones			%

## Risk Assessment

Have you carried out a risk assessment on your suppliers based on an approach that is aligned with the OECD Guidance?	Yes		No	
	If yes, please attach details/evidence with this document. (e.g. a copy of your risk assessment report)			
If yes, did you identify any 'Red Flags' as part of that risk assessment?	Yes		No	

## For Red Flags Only

What type of Red Flags have you identified? (tick all that apply)	Red Flag Location			
	Red Flag Supplier			
	Red Flag Circumstances			
Provide a description/summary of the Red Flags identified (for example, you have determined based on your risk assessment that the source or origin of the material is a CAHRA)				
During your risk assessment, did you identify the presence of any of the following adverse impacts in the Red Flag supply chain? (tick all that apply)  Note: See Annex II of the OECD Guidance for further information on relevant adverse impacts.	Serious abuses associated with the extraction, transport or trade of minerals. <b>(requires immediate disengagement)</b>			
	Direct or indirect support to non-state armed groups <b>(requires immediate disengagement)</b>			
	Direct or indirect support to public or private security forces who illegally control, tax or extort money from mine sites, transportation routes and upstream actors.			
	Bribery and fraudulent misrepresentation of the origin of minerals.			
	Money laundering and non-payment of taxes and royalties due to governments.			
	None of the above risks have been identified			
Have you implemented a risk management plan to prevent or mitigate potential or actual adverse impacts?	Yes		No	
If yes, provide a description/summary of your risk management plan.				
Have you had your due diligence practices independently audited?	Yes		No	
	Note: If yes, please attach a copy of the audit report with this form.			